

## CH.2 Basic Structures: Sets, Functions, Sequences and Summations

### □ Functions

- Introduction

- One-to-One and Onto Functions

- Inverse Functions and Compositions of Functions

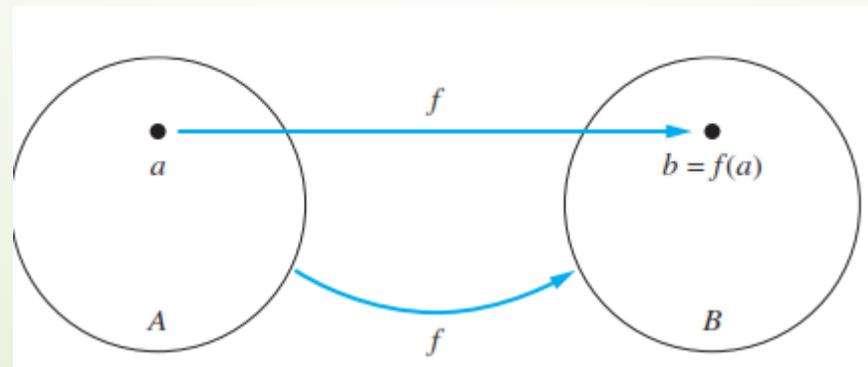
### □ Sequences and Summations

# Function

Let  $A$  and  $B$  be nonempty sets. A function  $f$  from  $A$  to  $B$  is an assignment of exactly one element of  $B$  to each element of  $A$ . We write  $f(a) = b$  if  $b$  is the unique element of  $B$  assigned by the function  $f$  to the element  $a$  of  $A$ . If  $f$  is a function from  $A$  to  $B$ , we write  $f: A \rightarrow B$ .

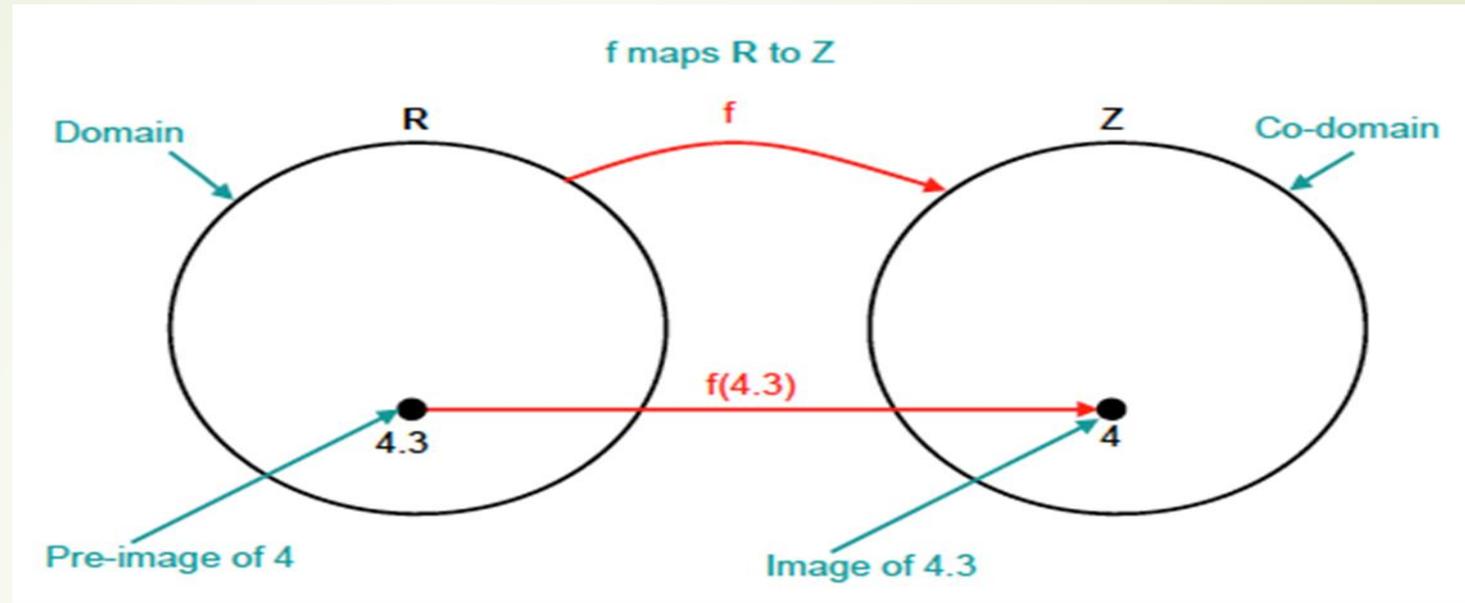
Functions are sometimes also called **mappings** or **transformations**

If  $f$  is a function from  $A$  to  $B$ , we say that  $A$  is the **domain** of  $f$  and  $B$  is the **codomain** of  $f$ . If  $f(a) = b$ , we say that  $b$  is the **image** of  $a$  and  $a$  is a **preimage** of  $b$ . The **range**, or **image**, of  $f$  is the set of all images of elements of  $A$ . Also, if  $f$  is a function from  $A$  to  $B$ , we say that  $f$  maps  $A$  to  $B$ .



# Examples

## Lecture 5



Example of the **floor** function

- $f: \mathbb{Z} \rightarrow \mathbb{Z}$ , assigns the square of an integer to its integer,  
 $f(x) = x^2$

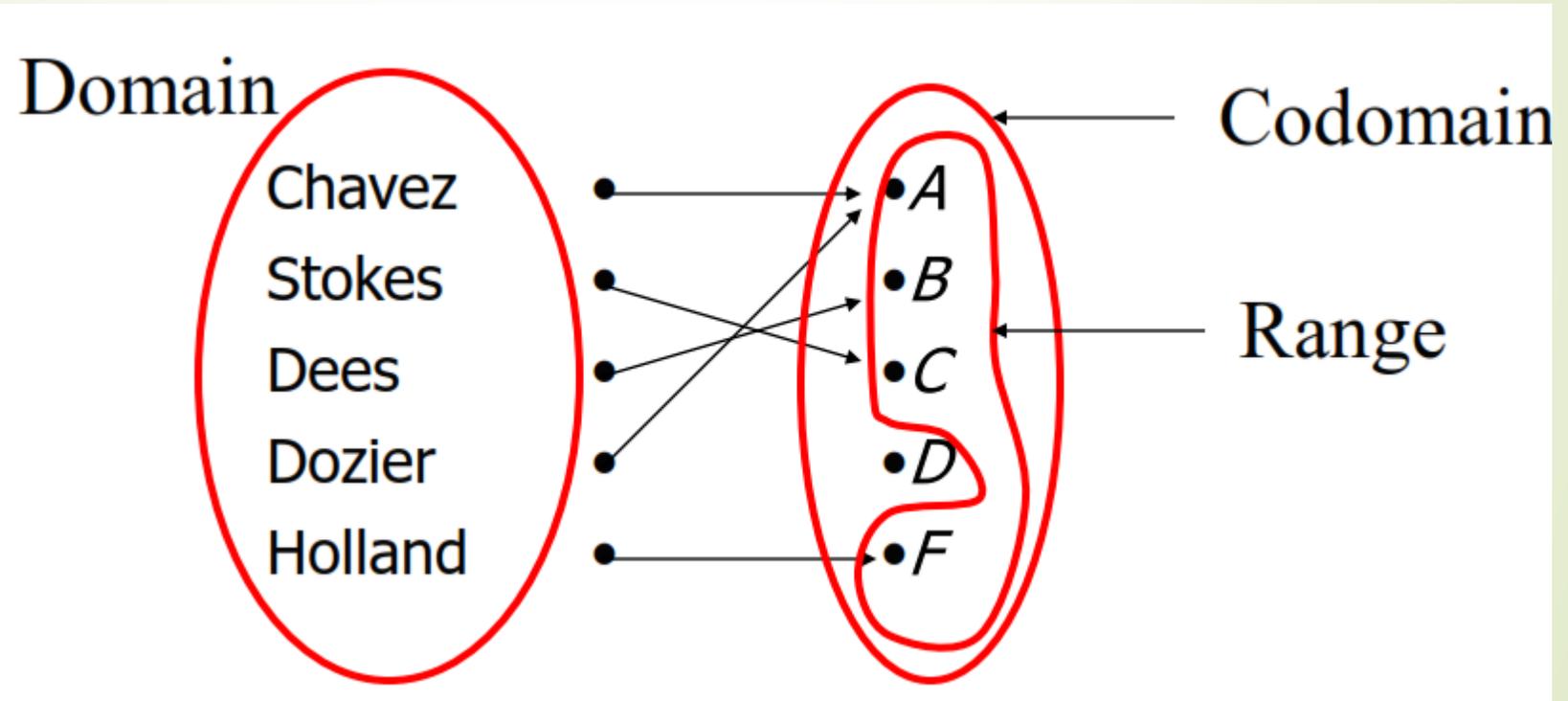
**Domain** : the set of **all integers**

**Codomain** : set of **all integers**

**Range**: all integers that are perfect squares, i.e.,  $\{0, 1, 4, 9, \dots\}$

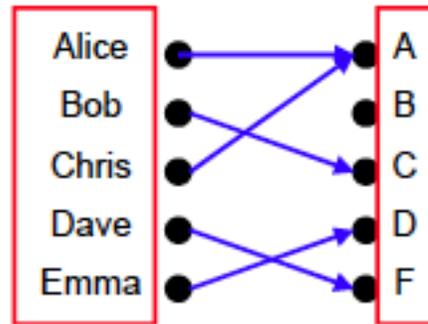
## Lecture 5

Suppose that each student in a class is assigned a letter grade from the set  $\{A, B, C, D, F\}$ . Let  $g$  be the function that assigns a grade to a student.



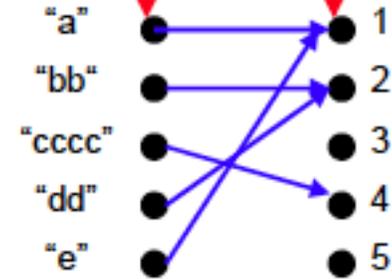
# EXAMPLES

Domain Co-domain



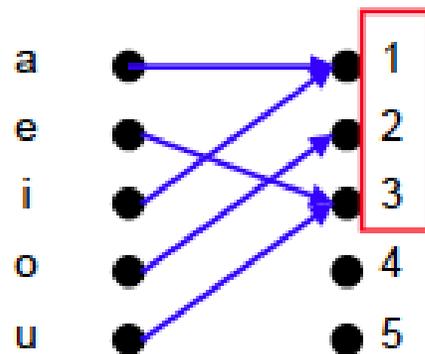
A class grade function

A pre-image of 1 The image of A

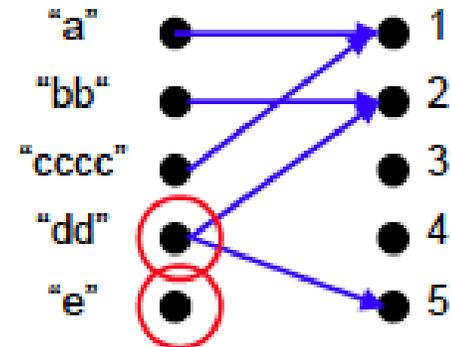


A string length function

Range



Some function...



Not a valid function!  
Also not a valid function!

- Specify a function by
  - Domain
  - Codomain
  - Mapping of elements
- Two functions are **equal** if they have Same **domain, codomain, mapping of elements**

### Quiz (1)

1. Why is  $f$  **not** a function from  $R$  to  $R$  if

**a)**  $f(x) = 1/x?$

**b)**  $f(x) = \sqrt{x}?$

- Two real-valued functions with the same domain can be added and multiplied

Let  $f_1$  and  $f_2$  be functions from  $A$  to  $\mathbf{R}$ , then  $f_1+f_2$ , and  $f_1 f_2$  are also functions from  $A$  to  $\mathbf{R}$  defined by

$$(f_1+f_2)(x) = f_1(x) + f_2(x)$$

$$(f_1 f_2)(x) = f_1(x) f_2(x)$$

**Note that** the functions  $f_1+f_2$  and  $f_1 f_2$  at  $x$  are defined in terms  $f_1$  and  $f_2$  at  $x$

### EXAMPLE

Let  $f_1$  and  $f_2$  be functions from  $\mathbf{R}$  to  $\mathbf{R}$  such that  $f_1(x) = x^2$  and  $f_2(x) = x - x^2$ . What are the functions  $f_1 + f_2$  and  $f_1 f_2$ ?

From the definition of the sum and product of functions, it follows that

$$(f_1 + f_2)(x) = f_1(x) + f_2(x) = x^2 + (x - x^2) = x$$

and

$$(f_1 f_2)(x) = x^2(x - x^2) = x^3 - x^4.$$

Let  $f$  be a function from  $A$  to  $B$  and let  $S$  be a subset of  $A$ . The *image of  $S$  under the function  $f$*  :

is the subset of  $B$  that consists of the images of the elements of  $S$ .

We denote the image of  $S$  by  $f(S)$ , so

$$f(S) = \{t \mid \exists s \in S (t = f(s))\}.$$

We also use the shorthand  $\{f(s) \mid s \in S\}$  to denote this set.

**Remark:**  $f(S)$  denotes a set, and not the value of the function  $f$  for the set  $S$ .

## EXAMPLES

### Lecture 4

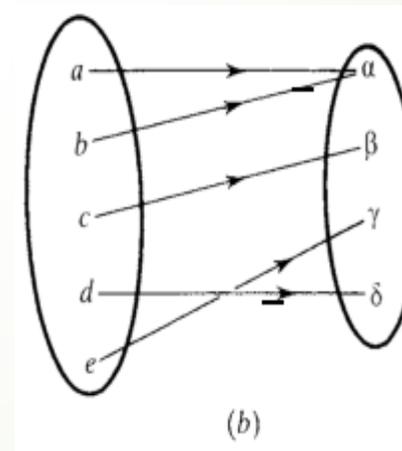
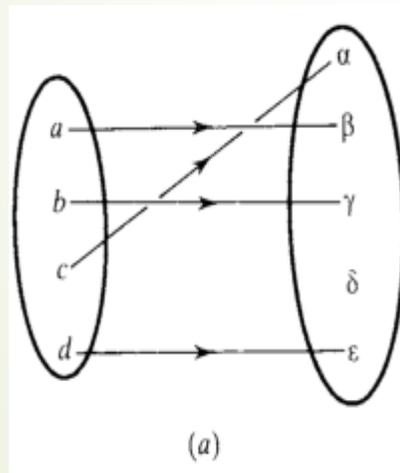
Let  $A = \{a, b, c, d, e\}$  and  $B = \{1, 2, 3, 4\}$  with  $f(a) = 2$ ,  $f(b) = 1$ ,  $f(c) = 4$ ,  $f(d) = 1$ , and  $f(e) = 1$ . The image of the subset  $S = \{b, c, d\}$  is the set  $f(S) = \{1, 4\}$ .

- **One-to-One and Onto Functions**

## Injections and Surjections

In this section we consider two special kinds of functions: ‘injections’ and ‘surjections’. a function  $f: A \rightarrow B$  can be such that:

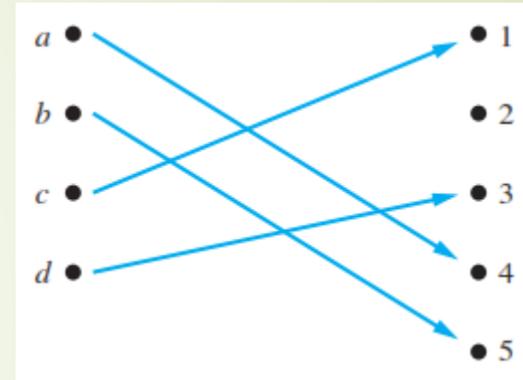
- (i) different elements of the domain may have the same image in the codomain;
- (ii) there may be elements of the codomain which are not the image of any element of the domain.



## DEFINITION

A function  $f$  is said to be *one-to-one*, or an *injection*, if and only if  $f(a) = f(b)$  implies that  $a = b$  for all  $a$  and  $b$  in the domain of  $f$ . A function is said to be *injective* if it is *one-to-one*.

**Remark:** We can express that  $f$  is one-to-one using quantifiers as  $\forall a \forall b (f(a) = f(b) \rightarrow a = b)$  or using the contrapositive equivalently  $\forall a \forall b (a \neq b \rightarrow f(a) \neq f(b))$ ,

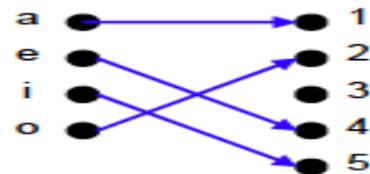


- Every element of B is the image of a unique element of A

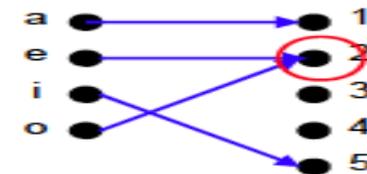
## Lecture 4

A function is one-to-one if each element in the co-domain has a unique pre-image

– Meaning no 2 values map to the same result



A one-to-one function



A function that is not one-to-one

## EXAMPLES

- **Determine** whether the function  $f(x) = x^2$  from the set of integers to the set of integers is **one-to-one**.

The function  $f(x) = x^2$  is **not** one-to-one because, for instance,  
 $f(1) = f(-1) = 1$ , but  $1 \neq -1$ .

- **Determine** whether the function  $f(x) = x^2$  from the set of positive integers to the set of integers is **one-to-one** ????
- **Determine** whether the function  $f(x) = x + 1$  from the set of real numbers to itself is one-to one.

The function  $f(x) = x + 1$  is a one-to-one function. To demonstrate this, note that  $x + 1 \neq y + 1$  when  $x \neq y$

## Increasing/decreasing functions

**Increasing (decreasing):** if  $f(x) \leq f(y)$  ( $f(x) \geq f(y)$ ), whenever  $x < y$  and  $x, y$  are in the domain of  $f$

**Strictly increasing (decreasing):** if  $f(x) < f(y)$  ( $f(x) > f(y)$ ) whenever  $x < y$ , and  $x, y$  are in the domain of  $f$

A function that is either **strictly increasing** or **decreasing** must be **one-to-one**

*Remark:* A function  $f$  is increasing if  $\forall x \forall y (x < y \rightarrow f(x) \leq f(y))$ , strictly increasing if  $\forall x \forall y (x < y \rightarrow f(x) < f(y))$ , decreasing if  $\forall x \forall y (x < y \rightarrow f(x) \geq f(y))$ , and strictly decreasing if  $\forall x \forall y (x < y \rightarrow f(x) > f(y))$ , where the universe of discourse is the domain of  $f$ .

# Onto functions

A function  $f$  from  $A$  to  $B$  is called *onto*, or a *surjection*, if and only if for every element  $b \in B$  there is an element  $a \in A$  with  $f(a) = b$ . A function  $f$  is called *surjective* if it is *onto*.

**Remark:** A function  $f$  is **onto** if

$$\forall y \exists x (f(x) = y),$$

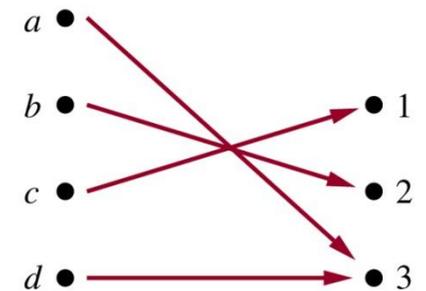
where the domain for  $x$  is the domain of the function and the domain for  $y$  is the codomain of the function.

**Codomain = range!**

## EXAMPLES

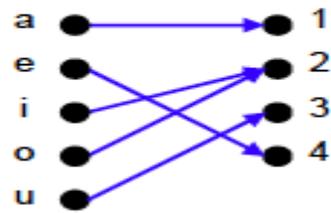
$f$  maps from  $\{a, b, c, d\}$  to  $\{1, 2, 3\}$ , is  $f$  onto?

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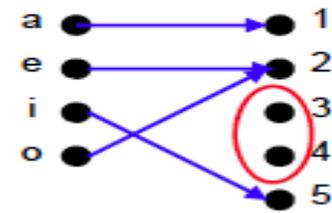


A function is onto if each element in the co-domain is an image of some pre-image

– Meaning all elements in the right are mapped to



An onto function



A function that is not onto

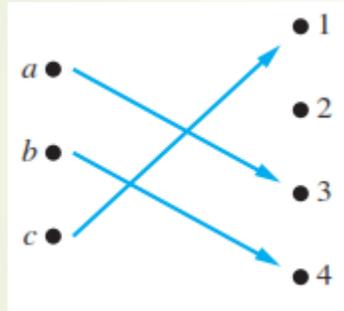
- Is the function  $f(x) = x^2$  from the set of integers to the set of integers onto?

Is it true that  $\forall y \exists x (x^2=y)$ ?

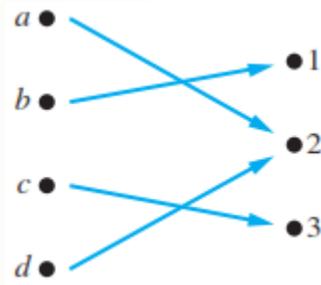
The function  $f$  is **not onto** because there is no integer  $x$  with  $x^2 = -1$ , for instance.

-1 is one of the possible values of  $y$ , but there does not exist an  $x$  such that  $x^2 = -1$

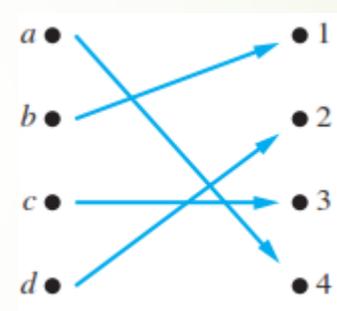
- Is  $f(x)=x+1$  from the set of integers to the set of integers onto?
  - It is **onto**, as for each integer  $y$  there is an integer  $x$  such that  $f(x) = y$
  - To see this,  $f(x) = y$  *iff*  $x+1 = y$ , which holds if and only if  $x = y-1$



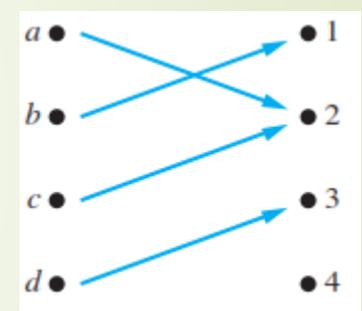
(a) One-to-one, not onto



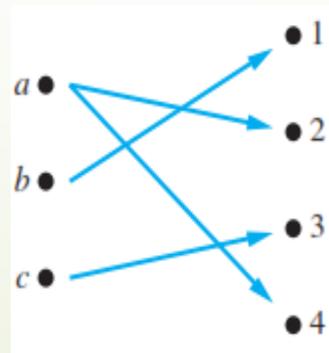
(b) Onto, not one-to-one



(c) One-to-one, and onto



(d) Neither one-to-one nor onto



(e) Not a function

# One-to-one correspondence

The function  $f$  is a *one-to-one correspondence*, or a *bijection*, if it is both *one-to-one* and onto. We also say that such a function is *bijective*.

- Let  $f$  be the function from  $\{a, b, c, d\}$  to  $\{1, 2, 3, 4\}$  with  $f(a)=4$ ,  $f(b)=2$ ,  $f(c)=1$ , and  $f(d)=3$ , is  $f$  bijective?
  - It is **one-to-one** as no two values in the domain are assigned the same function value
  - It is **onto** as all four elements of the codomain are images of elements in the domain

**Identity function:**

It is one-to-one and onto

$$\iota_A : A \rightarrow A, \iota_A(x) = x, \forall x \in A$$

we **summarize** what needs be to shown to establish whether a function is one-to-one and whether it is onto.

Suppose that  $f : A \rightarrow B$ .

*To show that  $f$  is injective* Show that if  $f(x) = f(y)$  for arbitrary  $x, y \in A$  with  $x \neq y$ , then  $x = y$ .

*To show that  $f$  is not injective* Find particular elements  $x, y \in A$  such that  $x \neq y$  and  $f(x) = f(y)$ .

*To show that  $f$  is surjective* Consider an arbitrary element  $y \in B$  and find an element  $x \in A$  such that  $f(x) = y$ .

*To show that  $f$  is not surjective* Find a particular  $y \in B$  such that  $f(x) \neq y$  for all  $x \in A$ .

## Lecture 5

### Quiz (2)

Determine whether each of these functions is a bijection from  $\mathbf{R}$  to  $\mathbf{R}$ .

a)  $f(x) = 2x + 1$

b)  $f(x) = x^2 + 1$

c)  $f(x) = x^3$

- **Inverse Functions and Compositions of Functions**

Consider a one-to-one correspondence  $f$  from  $A$  to  $B$

Since  $f$  is onto, every element of  $B$  is the image of some element in  $A$

Since  $f$  is one-to-one, every element of  $B$  is the image of a unique element of  $A$

Thus, we can define a new function from  $B$  to  $A$  that reverses the correspondence given by  $f$

**DEFINITION**

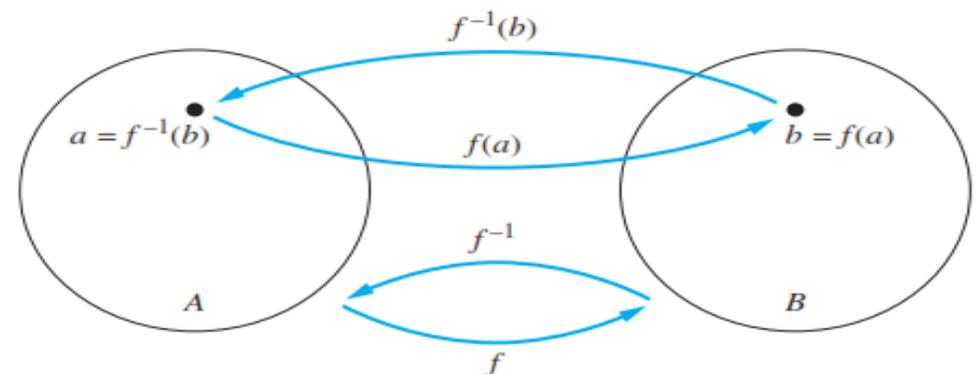
Let  $f$  be a one-to-one correspondence from the set  $A$  to the set  $B$

The **inverse function** of  $f$  is the function that assigns an element  $b$  belonging to  $B$  the **unique** element  $a$  in  $A$  such that  $f(a)=b$

Denoted by  $f^{-1}$ , hence  $f^{-1}(b)=a$  when  $f(a)=b$

**Note**  $f^{-1}$  is not the same as  $1/f$

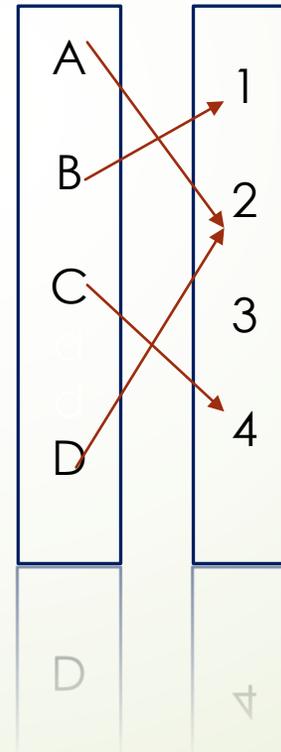
- A one-to-one correspondence is called **invertible**



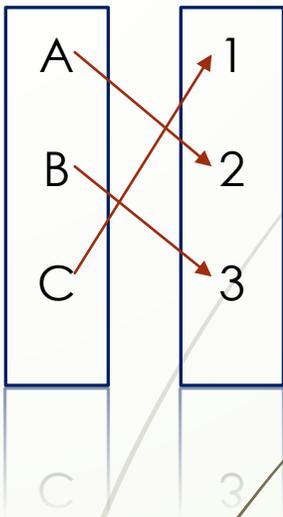
Why can't we **invert** such a function?

We cannot assign to each element  $b$  in the codomain a unique element  $a$  in the domain such that  $f(a) = b$ , because:

- For some  $b$  there is either
  - More than one  $a$
  - No such  $a$



## EXAMPLES



- $f$  is a function from  $\{a, b, c\}$  to  $\{1, 2, 3\}$  with  $f(a)=2$ ,  $f(b)=3$ ,  $f(c)=1$ . Is it invertible? What is its inverse?

The function  $f$  is invertible because it is a one-to-one correspondence. The inverse function  $f^{-1}$  reverses the correspondence given by  $f$ , so  $f^{-1}(1) = c$ ,  $f^{-1}(2) = a$ , and  $f^{-1}(3) = b$ .

- Let  $f: \mathbb{Z} \rightarrow \mathbb{Z}$  such that  $f(x) = x+1$ , Is  $f$  invertible? If so, what is its inverse?

$$y=x+1, x=y-1, f^{-1}(y) = y-1$$

- Let  $f: \mathbb{R} \rightarrow \mathbb{R}$  with  $f(x)=x^2$ , Is it invertible?

Since  $f(2)=f(-2)=4$ ,  $f$  is not one-to-one, and so not invertible

- Sometimes we **restrict the domain or the codomain of a function or both**, to have an **invertible** function

The function  $f(x)=x^2$ , from  $R^+$  to  $R^+$  is

- **one-to-one** : If  $f(x) = f(y)$ , then  $x^2 = y^2$ , so  $x^2 - y^2 = (x - y)(x + y)$  then  $x + y = 0$  or  $x - y = 0$ , so  $x = -y$  or  $x = y$  Because both  $x$  and  $y$  are nonnegative, we must have  $x = y$

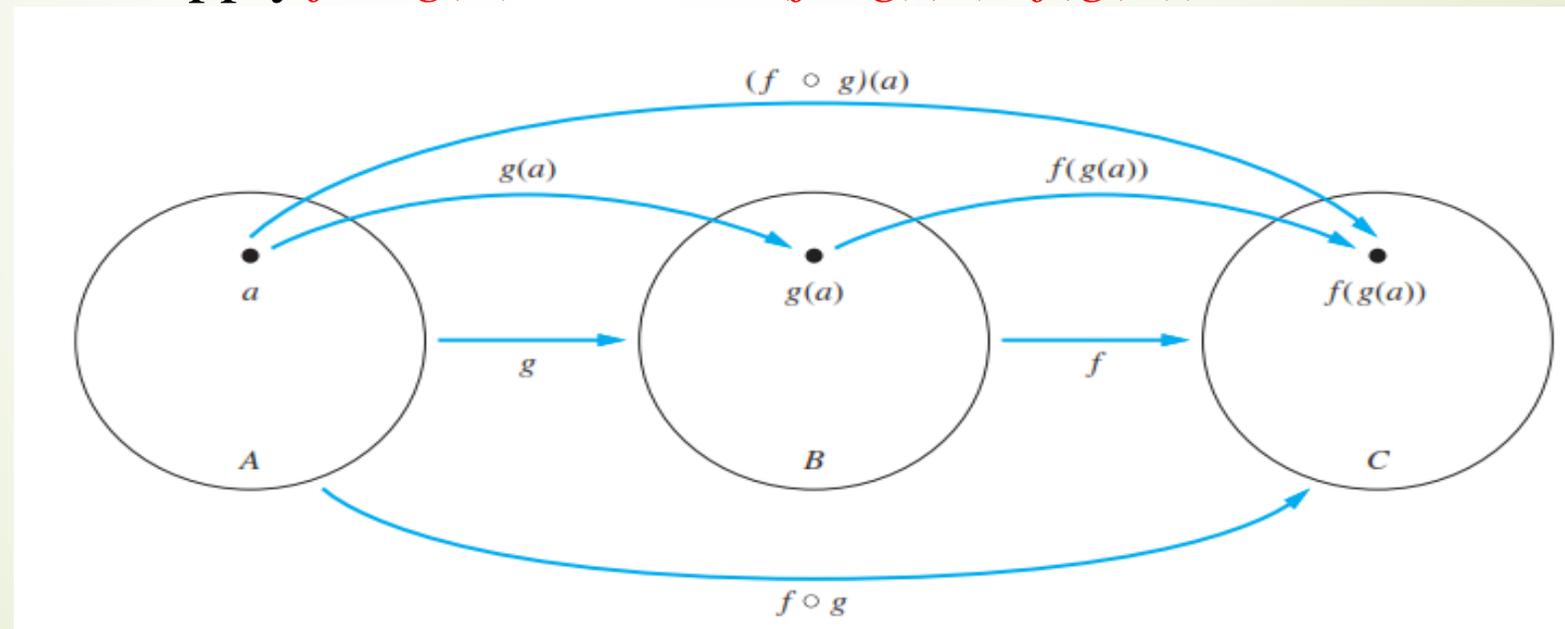
**onto**:  $y = x^2$ , every non-negative real number has a square root inverse function:  $x = f^{-1}(y) = \sqrt{y}$ .

# Composition of functions

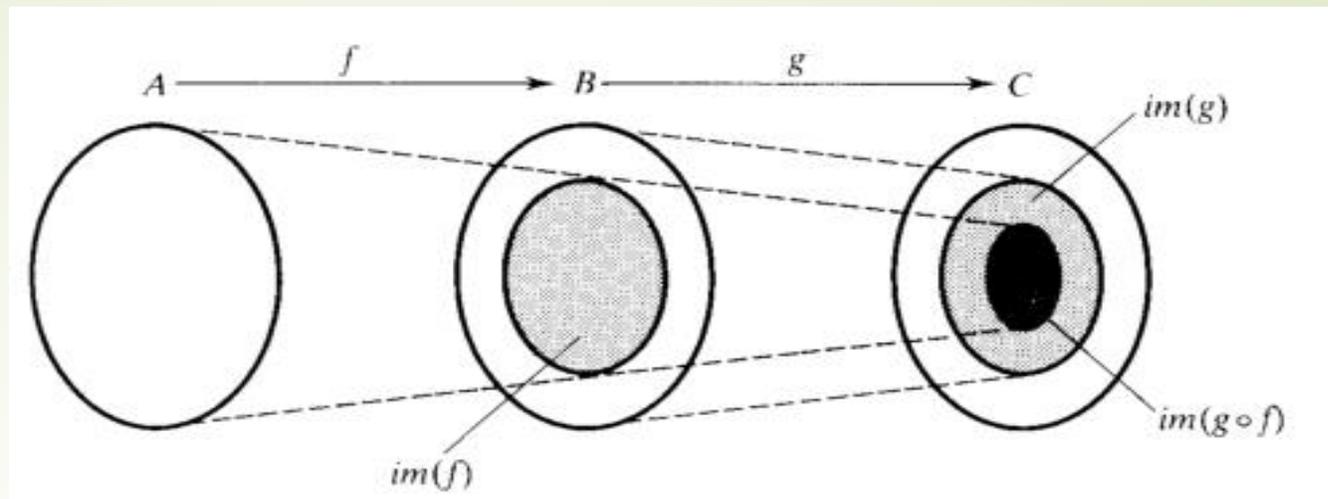
Let  $g$  be a function from  $A$  to  $B$  and  $f$  be a function from  $B$  to  $C$ , the *composition of the functions  $f$  and  $g$* , denoted by  $f \circ g$ , is defined by

$$(f \circ g)(a) = f(g(a))$$

- First apply  $g$  to  $a$  to obtain  $g(a)$
- Then apply  $f$  to  $g(a)$  to obtain  $(f \circ g)(a) = f(g(a))$



**Note**  $f \circ g$  cannot be defined unless the range of  $g$  is a subset of the domain of  $f$



## EXAMPLES

- $g: \{a, b, c\} \rightarrow \{a, b, c\}$ ,  $g(a)=b$ ,  $g(b)=c$ ,  $g(c)=a$ , and  
 $f: \{a, b, c\} \rightarrow \{1, 2, 3\}$ ,  $f(a)=3$ ,  $f(b)=2$ ,  $f(c)=1$ .

What are  $f \circ g$  and  $g \circ f$ ?

$$(f \circ g)(a) = f(g(a)) = f(b) = 2,$$

$$(f \circ g)(b) = f(g(b)) = f(c) = 1,$$

$$(f \circ g)(c) = f(a) = 3$$

$(g \circ f)(a) = g(f(a)) = g(3)$  not defined.  $g \circ f$  is not defined

Note that  $g \circ f$  is not defined, because the range of  $f$  is not a subset of the domain of  $g$

- $f(x)=2x+3$ ,  $g(x)=3x+2$ . What are  $f \circ g$  and  $g \circ f$ ?

$$(f \circ g)(x)=f(g(x))=f(3x+2)=2(3x+2)+3=6x+7$$

$$(g \circ f)(x)=g(f(x))=g(2x+3)=3(2x+3)+2=6x+11$$

Note that  $f \circ g$  and  $g \circ f$  are defined in this example, but they are **not equal**. The **commutative law does not hold** for composition of functions

## Composition of Inverses

$f \circ f^{-1}$  form an identity function in any order

Let  $f: A \rightarrow B$  with  $f(a)=b$

Suppose  $f$  is **one-to-one correspondence** from  $A$  to  $B$

Then  $f^{-1}$  is **one-to-one correspondence** from  $B$  to  $A$

The inverse function **reverses** the correspondence of  $f$ , so  $f^{-1}(b)=a$  when  $f(a)=b$ , and  $f(a)=b$  when  $f^{-1}(b)=a$

$$(f^{-1} \circ f)(a)=f^{-1}(f(a))=f^{-1}(b)=a,$$

and

$$(f \circ f^{-1})(b)=f(f^{-1}(b))=f(a)=b$$

$$f^{-1} \circ f = l_A, f \circ f^{-1} = l_B, l_A, l_B \text{ are identity functions of A and B}$$
$$(f^{-1})^{-1} = f$$

## Important functions – Floor

Let  $x$  be a real number. The floor function is the closest integer less than or equal to  $x$ .

### Examples

$$\lfloor \frac{1}{2} \rfloor = 0$$

$$\lfloor -\frac{1}{2} \rfloor = ?$$

$$\lfloor 3.1 \rfloor = ?$$

$$\lfloor 7 \rfloor = ?$$

# Important functions – Ceiling

Let  $x$  be a real number. The ceiling function is the closest integer greater than or equal to  $x$ .

## Examples

$$\lceil \frac{1}{2} \rceil = 1$$

$$\lceil -\frac{1}{2} \rceil = ?$$

$$\lceil 3.1 \rceil = ?$$

$$\lceil 7 \rceil = ?$$

**TABLE 1 Useful Properties of the Floor and Ceiling Functions.**

( $n$  is an integer)

(1a)  $\lfloor x \rfloor = n$  if and only if  $n \leq x < n + 1$

(1b)  $\lceil x \rceil = n$  if and only if  $n - 1 < x \leq n$

(1c)  $\lfloor x \rfloor = n$  if and only if  $x - 1 < n \leq x$

(1d)  $\lceil x \rceil = n$  if and only if  $x \leq n < x + 1$

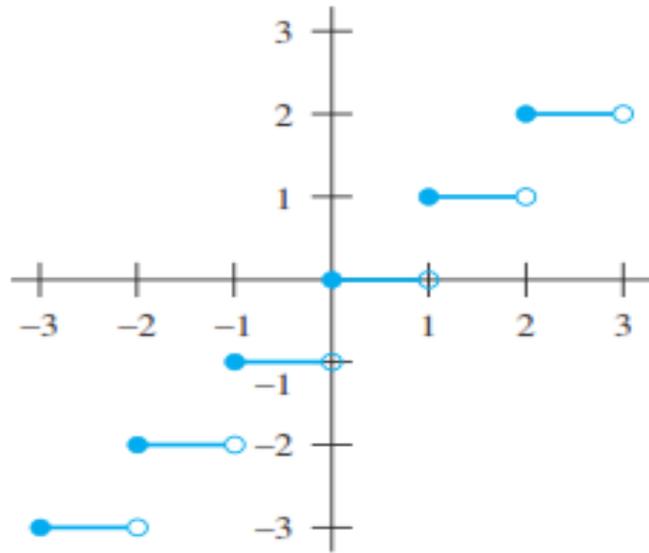
(2)  $x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$

(3a)  $\lfloor -x \rfloor = -\lceil x \rceil$

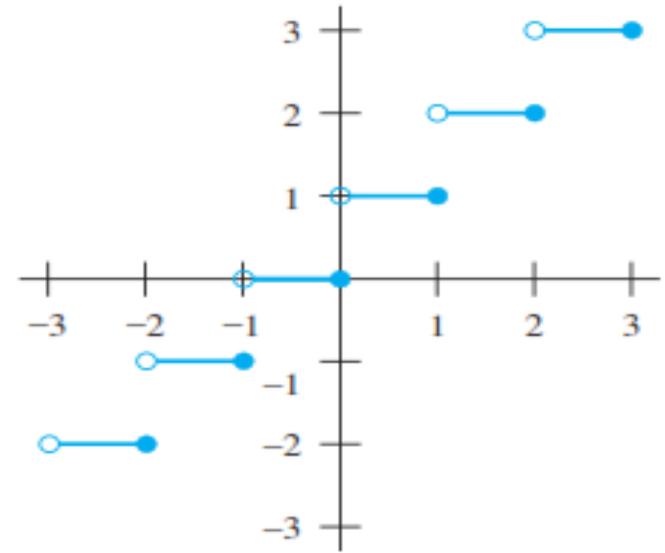
(3b)  $\lceil -x \rceil = -\lfloor x \rfloor$

(4a)  $\lfloor x + n \rfloor = \lfloor x \rfloor + n$

(4b)  $\lceil x + n \rceil = \lceil x \rceil + n$



(a)  $y = [x]$



(b)  $y = [x]$

**Graphs of the (a) Floor and (b) Ceiling Functions.**

### Quiz (3)

**8.** Find these values.

**a)**  $[1.1]$

**c)**  $[-0.1]$

**e)**  $[2.99]$

**g)**  $[\frac{1}{2} + \lceil \frac{1}{2} \rceil]$

**b)**  $\lceil 1.1 \rceil$

**d)**  $\lceil -0.1 \rceil$

**f)**  $\lceil -2.99 \rceil$

**h)**  $\lceil [\frac{1}{2}] + \lceil \frac{1}{2} \rceil + \frac{1}{2} \rceil$

Prove that if  $n$  is an integer, then  $[n/2] = n/2$  if  $n$  is even and  $(n - 1)/2$  if  $n$  is odd.

# The Graphs of Functions

Let  $f$  be a function from the set  $A$  to the set  $B$ .

The *graph of the function  $f$*  is the set of ordered pairs  
 $\{(a, b) \mid a \in A \text{ and } f(a) = b\}$ .

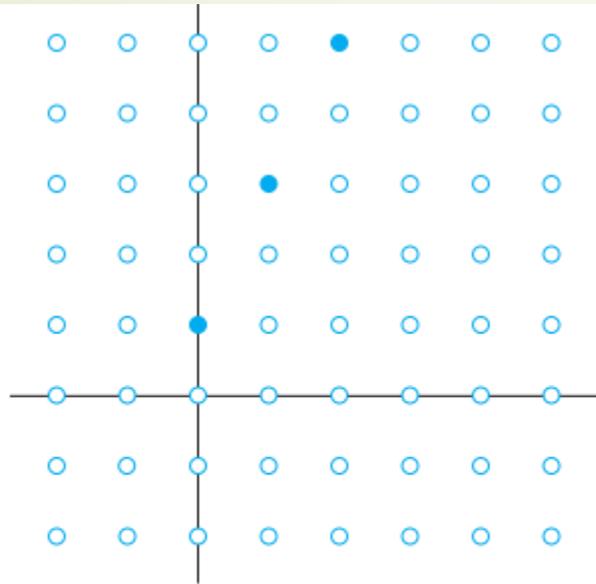
## EXAMPLES

1. Display the graph of the function  $f(n) = 2n + 1$  from the set of integers to the set of integers.

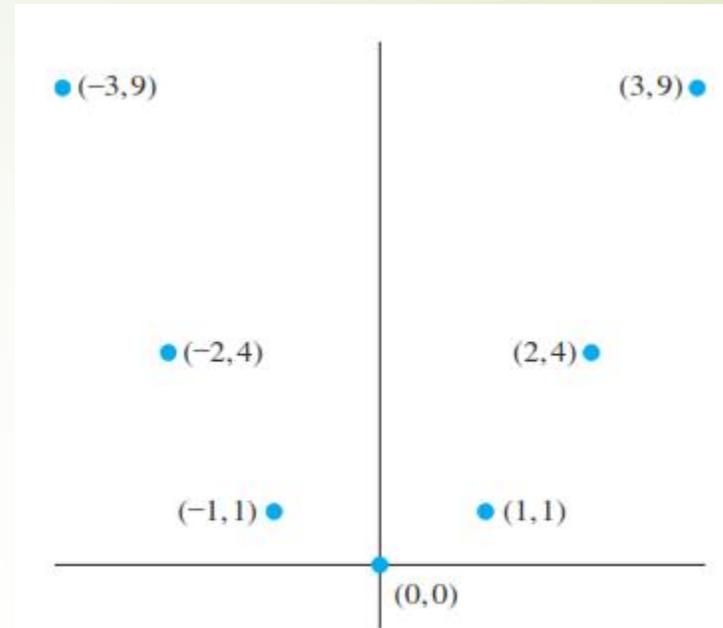
The graph of  $f$  is the set of ordered pairs of the form  $(n, 2n + 1)$ , where  $n$  is an integer.

2. Display the graph of the function  $f(x) = x^2$  from the set of integers to the set of integers.

The graph of  $f$  is the set of ordered pairs of the form  $(x, f(x)) = (x, x^2)$ , where  $x$  is an integer.



**FIGURE 8** The Graph of  $f(n) = 2n + 1$  from  $\mathbb{Z}$  to  $\mathbb{Z}$ .



**FIGURE 9** The Graph of  $f(x) = x^2$  from  $\mathbb{Z}$  to  $\mathbb{Z}$ .

# Sequences

A **sequence** is a discrete structure used to represent an ordered list. For **example**, 1, 2, 3, 5, 8 is a sequence with five terms and 1, 3, 9, 27, 81, ...,  $3^n$ , ... is an infinite sequence

- If the domain of a function is restricted to a subset of the set of integers (usually either the set  $\{0, 1, 2, \dots\}$  or the set  $\{1, 2, 3, \dots\}$ ) to a set  $S$ , the function is called a **sequence**
  - The domain is specifically the set  $\mathbf{N}$  or the set  $\mathbf{Z}^+$ .
  - $a_n$  denotes the image of  $n$  – called a *term of the sequence*
  - Notation for whole sequence:  $\{a_n\}$
  - $a_n$  is called the  $n^{\text{th}}$  term or **general term**.

## EXAMPLES

- Let  $\{a_n\}$  be a sequence, where  
$$a_n = 1/n \quad \text{and} \quad n \in \mathbf{Z}^+$$
- What are the *terms* of the sequence?

$$a_1 = 1$$

$$a_2 = 1/2$$

$$a_3 = 1/3$$

$$a_4 = 1/4$$

... ..

# Geometric progression

A *geometric progression* is a sequence of the form

$$a, ar, ar^2, \dots, ar^n, \dots$$

where the *initial term*  $a$  and the *common ratio*  $r$  are real numbers.

- Can be written as  $f(x) = a \cdot r^x$

## Example

- The sequences  $\{b_n\}$  with  $b_n = (-1)^n$ ,  $\{c_n\}$  with  $c_n = 2 \cdot 5^n$ ,  $\{d_n\}$  with  $d_n = 6 \cdot (1/3)^n$  are geometric progression
  - $b_n$ : 1, -1, 1, -1, 1, ...
  - $c_n$ : 2, 10, 50, 250, 1250, ...
  - $d_n$ : 6, 2, 2/3, 2/9, 2/27, ...

# Arithmetic progression

An *arithmetic progression* is a sequence of the form

$$a, a + d, a + 2d, \dots, a + nd, \dots$$

where the *initial term*  $a$  and the *common difference*  $d$  are real numbers.

**Remark:** An arithmetic progression is a discrete analogue of the linear function  $f(x) = dx + a$ .

## Example

Let  $\{a_n\}$  be a sequence, where  $a_n = -1 + 4n$

- What type of progression is this? (Arithmetic)
- What is the initial term? (-1)
- What is the common ratio/difference? (4)
- What are the terms of the sequence? (-1, 3, 7, 11, ...)

- Let  $\{t_n\}$  be a sequence, where  $t_n = 7 - 3n$ 
  - What type of progression is this? (Arithmetic)
  - What is the initial term? (7)
  - What is the common ratio/difference? (-3)
  - What are the terms of the sequence? (7, 4, 1, -2, ...)

## String

Sequences of the form  $a_1, a_2, \dots, a_n$  are often used in computer science. These finite sequences of bits are also called **strings**. The **length** of the string  $S$  is the number of terms. The **empty string**, denoted by  $\lambda$ , is the string that has no terms and has length zero.

- The string  $abcd$  is a string of length four.

## Example

Find formulae for the sequences with the following first five terms: (a) 1, 1/2, 1/4, 1/8, 1/16  
(b) 1, 3, 5, 7, 9 (c) 1, -1, 1, -1, 1.

(a)– What type of progression is this? (geometric progression)

– What is the initial term? (1)

– What is the common ratio/difference? (1/2)

What is the formula? ( $a_n = \frac{1}{2^n}$ )

(b) We note that each term is obtained by adding 2 to the previous term.

What type of progression is this? (Arithmetic)

– What is the initial term? (1)

– What is the common ratio/difference? (2)

What is the formula? ( $a_n = 2n+1$ )

(c) The terms alternate between 1 and -1. The sequence with a

$$a_n = (-1)^n, n = 0, 1, 2, \dots$$

What is the initial term? (1)

– What is the common ratio/difference? ( $r = -1$ )

What is the formula? ( $a_n = (-1)^n \quad n=0,1,2,\dots$ )

How can we produce the terms of a sequence if the first 10 terms are 5, 11, 17, 23, 29, 35, 41, 47, 53, 59?

**Solution:** Note that each of the first 10 terms of this sequence after the first is obtained by adding 6 to the previous term. (We could see this by noticing that the difference between consecutive terms is 6.) Consequently, the  $n$ th term could be produced by starting with 5 and adding 6 a total of  $n - 1$  times; that is, a reasonable guess is that the  $n$ th term is  $5 + 6(n - 1) = 6n - 1$ . (This is an arithmetic progression with  $a = 5$  and  $d = 6$ .)

**TABLE 1** Some Useful Sequences.

<i>n</i> th Term	First 10 Terms
$n^2$	1, 4, 9, 16, 25, 36, 49, 64, 81, 100, ...
$n^3$	1, 8, 27, 64, 125, 216, 343, 512, 729, 1000, ...
$n^4$	1, 16, 81, 256, 625, 1296, 2401, 4096, 6561, 10000, ...
$2^n$	2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...
$3^n$	3, 9, 27, 81, 243, 729, 2187, 6561, 19683, 59049, ...
$n!$	1, 2, 6, 24, 120, 720, 5040, 40320, 362880, 3628800, ...
$f_n$	1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, ...

## Summations

A summation denotes the sum of the terms of a sequence.

from the sequence  $\{a_n\}$ . We use the notation

$$\sum_{j=m}^n a_j, \quad \sum_{j=m}^n a_j, \quad \text{or} \quad \sum_{m \leq j \leq n} a_j$$

(read as the sum from  $j = m$  to  $j = n$  of  $a_j$ ) to represent

$$a_m + a_{m+1} + \cdots + a_n.$$

*Upper limit* →

*Index of Summation* →

*Lower limit* →

$$\sum_{j=1}^5 j^2 = 1^2 + 2^2 + 3^2 + 4^2 + 5^2$$

$$= 1 + 4 + 9 + 16 + 25$$

$$= 55$$

### Example

- Express the sum of the first 100 terms of the sequence  $\{a_n\}$  where  $a_n = 1/n$ ,  $n=1, 2, 3, \dots$

The lower limit for the index of summation is 1, and the upper limit is 100. We write this sum as

$$\sum_{j=1}^{100} \frac{1}{j}$$

- What is the value of  $\sum_{k=4}^8 (-1)^k$ ?

$$\begin{aligned}\sum_{k=4}^8 (-1)^k &= (-1)^4 + (-1)^5 + (-1)^6 + (-1)^7 + (-1)^8 \\ &= 1 + (-1) + 1 + (-1) + 1 \\ &= 1.\end{aligned}$$

- What is the value of  $\sum_{k=1}^5 k^2$

$$\sum_{k=1}^5 k^2 = 1^2 + 2^2 + 3^2 + 4^2 + 5^2 = 1 + 4 + 9 + 16 + 25 = 55$$

- When **shifting** an index of summation, it is important to make the appropriate **changes in the corresponding summand**.

but want the index of summation to run between 0 and 4 rather than from 1 to 5. To do this, we let  $k = j - 1$ . Then the new summation index runs from 0 (because  $k = 1 - 0 = 0$  when  $j = 1$ ) to 4 (because  $k = 5 - 1 = 4$  when  $j = 5$ ), and the term  $j^2$  becomes  $(k + 1)^2$ . Hence,

$$\sum_{j=1}^5 j^2 = \sum_{k=0}^4 (k + 1)^2.$$

# Geometric Series

The sum of a **geometric progression** is called a **geometric series**

- Commonly used

$$S = \sum_{j=0}^n ar^j = ar^0 + ar^1 + ar^2 + \dots + ar^n$$

$$rS_n = r \sum_{j=0}^n ar^j$$

substituting summation formula for  $S$

$$= \sum_{j=0}^n ar^{j+1}$$

by the distributive property

$$= \sum_{k=1}^{n+1} ar^k$$

shifting the index of summation, with  $k = j + 1$

$$= \left( \sum_{k=0}^n ar^k \right) + (ar^{n+1} - a)$$

removing  $k = n + 1$  term and adding  $k = 0$  term

$$= S_n + (ar^{n+1} - a)$$

substituting  $S$  for summation formula

Lecture 5

Solving for  $S_n$  shows that if  $r \neq 1$ , then

$$S_n = \frac{ar^{n+1} - a}{r - 1}.$$

$$\sum_{j=0}^n ar^j = \begin{cases} \frac{ar^{n+1} - a}{r - 1} & \text{if } r \neq 1 \\ (n + 1)a & \text{if } r = 1 \end{cases}$$

If  $r = 1$ , then the  $S_n = \sum_{j=0}^n ar^j = \sum_{j=0}^n a = (n + 1)a$ .

## Double Summation

- Often used in programs

$$\begin{aligned}\sum_{i=1}^4 \sum_{j=1}^3 ij &= \sum_{i=1}^4 (i + 2i + 3i) \\ &= \sum_{i=1}^4 6i = 6 + 12 + 18 + 24 = 60\end{aligned}$$

$$\sum_{i=1}^3 \sum_{j=1}^2 (i - j)$$

$$\sum_{j=1}^2 (i - j) = (i - 1) + (i - 2) = 2i - 3$$

$$\begin{aligned}\sum_{i=1}^3 (2i - 3) &= (2 \cdot 1 - 3) + (2 \cdot 2 - 3) + (2 \cdot 3 - 3) \\ &= -1 + 1 + 3 = 3\end{aligned}$$

**TABLE 2** Some Useful Summation Formulae.

<i>Sum</i>	<i>Closed Form</i>
$\sum_{k=0}^n ar^k \ (r \neq 0)$	$\frac{ar^{n+1} - a}{r - 1}, r \neq 1$
$\sum_{k=1}^n k$	$\frac{n(n+1)}{2}$
$\sum_{k=1}^n k^2$	$\frac{n(n+1)(2n+1)}{6}$
$\sum_{k=1}^n k^3$	$\frac{n^2(n+1)^2}{4}$
$\sum_{k=0}^{\infty} x^k,  x  < 1$	$\frac{1}{1-x}$
$\sum_{k=1}^{\infty} kx^{k-1},  x  < 1$	$\frac{1}{(1-x)^2}$

Lecture 5

## Examples

1. Find  $\sum_{k=50}^{100} k^2$

$$\sum_{k=50}^{100} k^2 = \sum_{k=1}^{100} k^2 - \sum_{k=1}^{49} k^2 = \frac{100 \cdot 101 \cdot 201}{6} - \frac{49 \cdot 50 \cdot 99}{6} = 338350 - 40425 = 297925$$

$$\sum_{k=1}^n k^2 = n(n+1)(2n+1)/6 \text{ from Table 2}$$

2. Let  $x$  be a real number with  $|x| < 1$ , Find  $\sum_{n=0}^{\infty} x^n$

$$\sum_{j=0}^n ar^j = \begin{cases} \frac{ar^{n+1} - a}{r-1} & \text{if } r \neq 1 \\ (n+1)a & \text{if } r = 1 \end{cases}, \quad \sum_{n=0}^k x^n = \frac{x^{k+1} - 1}{x-1}, \quad \sum_{n=0}^{\infty} x^n = \lim_{k \rightarrow \infty} \frac{x^{k+1} - 1}{x-1} = \frac{-1}{x-1} = \frac{1}{1-x}$$

Differentiating both sides of  $\sum_{k=0}^{\infty} x^k = \frac{1}{1-x}$

$$\sum_{k=0}^{\infty} kx^{k-1} = \frac{1}{(1-x)^2}$$

What are the values of these sums?

a)  $\sum_{k=1}^5 (k + 1)$

b)  $\sum_{j=0}^4 (-2)^j$

c)  $\sum_{i=1}^{10} 3$

d)  $\sum_{j=0}^8 (2^{j+1} - 2^j)$

**Thank  
You!**

